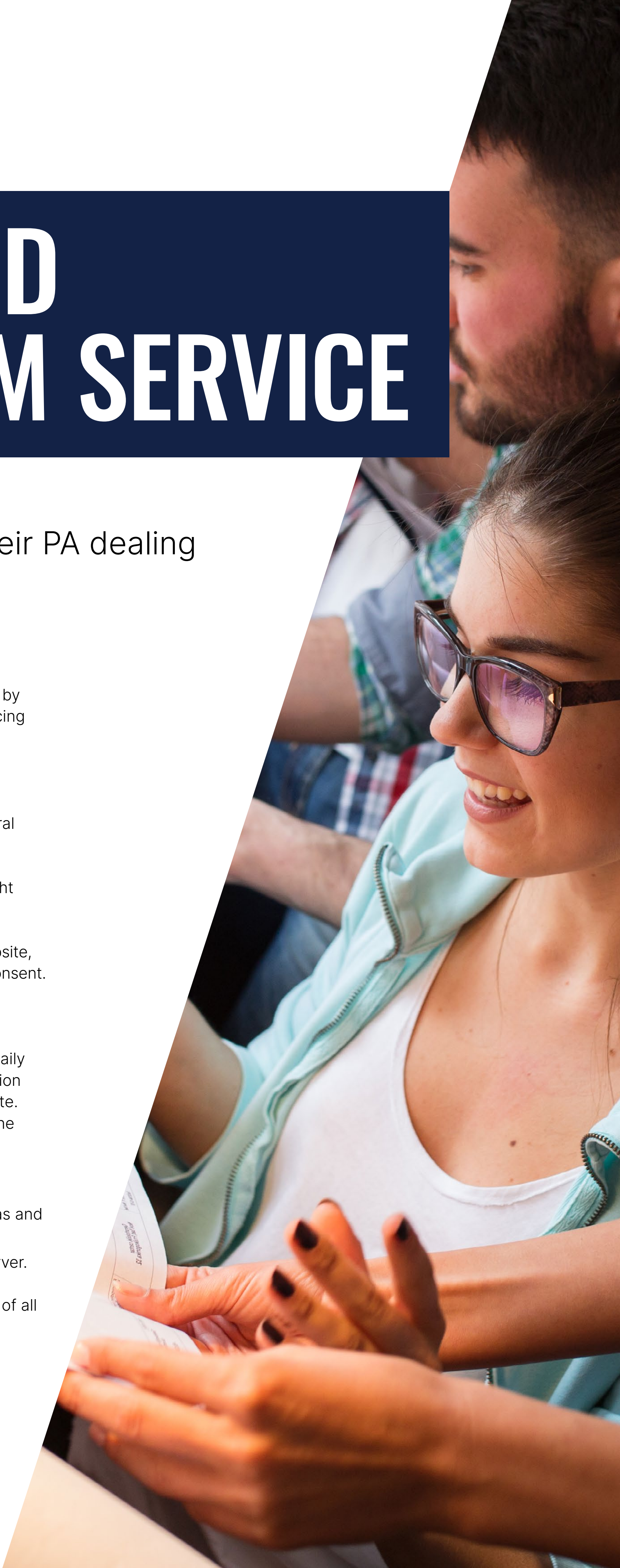
HARGREAVES LANSDOWN

APPROVED PLATFORM SERVICE



To help your clients meet their PA dealing reporting requirements.

HOW WE HELP

We help your clients meet their PA dealing requirements by making the reporting process simple and efficient, reducing the time they spend on admin.

We provide a detailed breakdown of all the transactions placed by their employees, whether they're dealing in their SIPP (Self-Invested Personal Pension), ISA or general investment account.

All transactions will be reported to your clients at the right time in a clear, easy to understand format.

Employee consent can be gathered using our secure website, ensuring fast take up and removing the need for paper consent.

HOW THE SERVICE WORKS

Employee transactions will be sent to your clients on a daily basis as a data file. The data contains all of the information your clients would expect to find on a paper contract note. It will cover every employee who has placed trades on the previous day.

Clients can access the data in two ways:

- By logging into a secure account to retrieve the data as and when required, or
- We can push the data to a secure location on their server.

We can also deliver a positional daily electronic data file of all their employees' holdings.

We transmit the data in a secure electronic format, for use in house or by a data processor. If required, we can also supply data on employee holdings and linked client accounts.

We've been helping UK investors for over over 40 years, and nearly 2 million people already trust us with their ISAs, pensions and savings.

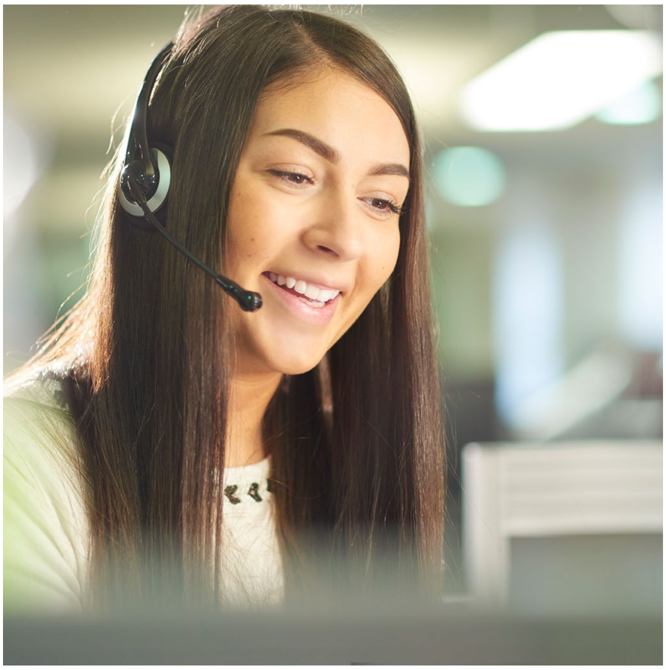
'WHAT IS AN APPROVED PLATFORM?'

We are seeing a growing number of companies using a set list of 'Authorised Platforms'.

This is where an employer limits the number of companies their employees can hold investments with. It makes the compliance team more effective and also simplifies trade reporting for employees.

Our service is designed to streamline the supply of PA dealing information. We make sure all employees, both present and future, are catered for.

We can support you with materials and information to help educate and inform your clients.



WHY CHOOSE US?

We have been helping clients choose and manage their own investments since 1981.

Today, we are an FCA regulated, financially secure FTSE 100 company looking after £134 billion on behalf of around 1.8 million clients.

Financial security is important to us. We invest in the best staff and the latest technology, so you can rest assured we'll be around to service you for the long term.

With over three decades of experience, we've developed a service tailored to the needs of private investors.

We work with many of the world's leading financial services companies. And always review our offering to make sure we stay ahead of ever-evolving regulation.

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